

REVISED SCHEDULE OF FEES AND CHARGES

SEC MEMORANDUM CIRCULAR NO. 5, S. 2004, March 25, 2004

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As approved by the Commission en Banc, hereunder are the revised fees and charges for some applications, in addition to those fees approved on 23 July 2001, to be imposed and collected by the Securities and Exchange Commission.

A. Applications

Filing Fee

1. Articles of Partnership

1/5 of 1% of the Partnership's capital but not less than P1,000.00

2. Increase of Capital of Partnership

1/5 of 1% of the increase in capital but not less than P1,000.00

3. Amended Articles of Partnership

P1,000.00

4. Deed of Assignment of Partnership Interest

P500.00

5. Articles of Dissolution of Partnership

P500.00

6. Affidavit of Withdrawal of Partner

P500.00

7. Articles of Incorporation of Non-stock corporation

P500.00

8. By-Laws of both stock and non-stock corporation

P500.00

9. Amended Articles of Incorporation of both stock & non-stock corporations.

P500.00

10. Amended Articles of Incorporation where amendment consists of extending the term of corporate existence

1/5 of 1% of the authorized capital stock but not less than P2,000.00

11. Amended Articles of Incorporation

P2,000.00

Re: Conversion/Reclassification of shares

12. Amended by-laws of both stock and non-stock corporation

P500.00

13. Merger or consolidation of corporations

1/5 of 1% of the equity of the absorbed corporation/s but not less than P3,000.00

(a) In merger, in case of simultaneous filing of application for increase of authorized capital stock by the surviving corporation

Filing fee for Increase in Capital Stock or the filing fee for Merger whichever is higher

(b) In consolidation where the total equity of constituent corporations is different from the authorized capital of the consolidated corporation

1/5 of 1% of total equity of the constituent corporations or the filing fee for Articles of Incorporation whichever is higher

14. Valuation of Consideration for Shares of Stock

1/5 of 1% of the amount of shares of stock to be issued but not less than P2,000.00

15. Application of Stock Foreign Corporations:

1% of the actual inward remittance of the corporation converted into Philippine currency but not less than P2,000.00

a) branch office

b) representative office

1/10 of 1% of the actual inward remittance of the corporation converted into Philippine currency but not less than P2,000

16. Application of Non-Stock foreign corporation

P2,000.00

17. Application for Area or Regional Headquarters

P5,000.00

18. Application for Regional Operating Headquarters or petition for Conversion of an Area or Regional Headquarters into a Regional Operating Headquarters

1% of the actual remittance but not less than 1% of peso equivalent of \$200,000 at the time of remittance

19. Petition for Amendment of License of a foreign corporation

P2,000.00

20. Cancellation of license of a foreign corporation

P2,000.00

21. Cancellation of license of Regional Headquarters of multinational corporation

P1,000.00

22. Cancellation of license of Regional Operating Headquarters of multinational corporation

P2,000.00

23. Appointment of a Resident Agent or Substitute Resident Agent

P1,000.00

24. Revocation of Appointment of Resident Agent or Substitute Agent

P1,000.00

25. Amended Articles of Incorporation of a Foreign Corporation

P1,000.00

26. Amended By-Laws of a Foreign Corporation

P1,000.00

27. Filing fee for Petition to set aside Order of Suspension/Revocation

P1,000.00

28. Petition for Correction in Articles of Incorporation, By-laws or amendments thereto

P1,000.00

B. Public Reference Services

Fees/Charges

29. Reproduction of documents other than Articles of Incorporation, By-Laws, General Information Sheet, Increase in Capital Stock, Resolution, Secretary Certificate, Board Resolution, Registration Data Sheet and Deed of Assignment

P5.00/page plus P20.00/corporation

30. Authentication of documents other than Articles of Incorporation, By-Laws, General Information Sheet, Increase in Capital Stock Resolution, Secretary Certificate, Board Resolution, Registration Data Sheet and Deed of Assignment

P5.00/page plus P20.00/document

C. Preparation of statistical reports, company listings and related information on SEC-registered entities

Fees/Charges

31. Service Charge

P20.00 per transaction

32. Computer usage/processing time

P2.00 per minute

33. Special program fee for institutional/individual researchers

P500.00 per transaction

34. Hard copy of documents with list containing various information such as address, industry classification, equity structure, etc.

P5.00 per page

35. Soft copy of documents with list containing various information such as address, industry classification, equity structure, etc.

a. CD-RW media

P100.00 per piece

b. 1.44 MB floppy diskette

P 50.00 per piece

All other circulars, rules, orders and issuances, or part thereof inconsistent with this Memorandum Circular are hereby repealed or modified accordingly.

This circular shall take effect immediately after publication in a newspaper of general circulation.

Adopted: 25 March 2004

(SGD.) LILIA R. BAUTISTA
Chairperson

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